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1	RECORD OF ORAL HEARING
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3	UNITED STATES PATENT AND TRADEMARK OFFICE
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6	BEFORE THE BOARD OF PATENT APPEALS
7	AND INTERFERENCES
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10	Ex parte GORDON G. GUAY
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13	Appeal No. 2009-009378
14	Application No. 10/664,818
15	Technology Center 1700
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18	Oral Hearing Held: December 8, 2009
19	<u> </u>
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21	Before JEFFREY T. SMITH, LINDA M. GAUDETTE, and
22	KAREN M. HASTINGS, Administrative Patent Judges.
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24	
25	
26	APPEARANCES:
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28	ON BEHALF OF THE APPELLANT:
29	
30	DENNIS MALONEY, ESQUIRE
31	Fish & Richardson, P.C.
32	225 Franklin Street
33	Boston, Massachusetts 02110
34	(617) 542-5070
35	,
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- 1 The above-entitled matter came on for hearing on Tuesday, December
- 2 8, 2009, commencing at 9:34a.m., at the U.S. Patent and Trademark Office.
- 3 600 Dulany Street, Alexandria, Virginia, before Victoria L. Wilson, Notary
- 4 Public.
- 5 JUDGE SMITH: Good morning. Board of Patent Appeals.
- 6 MR. MALONEY: Good morning. This is Denis Maloney calling for hearing
- 7 2009-9378.
- 8 JUDGE SMITH: Good morning, Mr. Maloney. This is Judge Smith and your
- 9 panel for today is Jeffrey Smith, Linda Gaudette, and Karen Hastings. As you
- 10 know, your application is open to the public and we do have members of the
- 11 public also here in the hearing room with us today.
- 12 MR, MALONEY: Okay.
- 13 JUDGE SMITH: Also, as you are aware, the hearing procedure is being
- 14 transcribed and you will get a transcript that will be added to the record.
- 15 MR. MALONEY: I understand.
- 16 JUDGE SMITH: Okay. Well, with all that taken care of, you have 20 minutes
- 17 to present your argument.
- 18 MR. MALONEY: Thank you very much.
- 19 We have two prior art -- we have several prior art rejections here. I would like
- 20 to go over as many of them as possible. I will start with the rejection of claims
- 21 11, 15 and 24 as being anticipated by Deinzer.
- 22 Claim 11 is directed toward a fuel cartridge to apply fuel to a fuel cell and the
- 23 elements of claim 11 include a housing that contains and is in direct contact
- 24 with a source of -- a liquid source of an oxidizable fuel and has a portion of the
- 25 wall of the housing comprised of a clear conductive material.

- 1 Another element of the claim 1 is a fuel egress port supported by the housing
- 2 and the -- there is a functional limitation in the claim 1 that's a portion of the
- 3 wall of the housing sinks heat generated from external component to enhance a
- 4 delivery rate of the liquid source of oxidizable fuel in a vapor phase through
- 5 the egress port of the container.
- 6 Now, Deinzer, a reference cited by the Examiner, describes a fuel cartridge,
- 7 and Deinzer mentions that the walls of the housing can be either metal or
- 8 plastic but never mentions they can be both and it expresses a preference for
- 9 metal for certain applications. However, Deinzer does not describe any hybrid
- 10 construction, which presumably would be more expensive than a construction
- of one material, and, thus, absent some reason to construct the housing of two
- 12 materials is clearly not inherent in Deinzer.
- 13 For all of the embodiments Deinzer describes, Deinzer has a sleeve, 312, or
- 14 other -- and other numbers in other figures that surrounds the methanol and
- 15 actually contains the methanol. The sleeves are configured to form a bellows
- 16 and is comprised of an elastomer which Deinzer describes as being thermally
- 17 insulated.
- 18 Nothing is mentioned concerning the thermal properties of the sleeve material
- 19 and, indeed, the structure of Deinzer does not anticipate claim 11 because
- 20 Deinzer does not meet the limitation of the housing containing in direct contact
- 21 with a liquid source of an oxidizable fuel.
- 22 JUDGE SMITH: Excuse me, counselor. Do you have a special definition for
- 23 "thermally conductive material"?
- 24 MR. MALONEY: Do I have a commercial -- do I have -- I think one of skill
- 25 in the art would understand that metal is a thermally conductive material, that

- 1 it is not thermally insulating, whereas an elastomer is generally thermally
- 2 insulated.
- 3 JUDGE SMITH: Does your specification describe that?
- 4 MR, MALONEY: I don't think our specification needs to describe that, I
- 5 think a person skilled in the art would understand what we mean by "thermally
- 6 conductive material." Deinzer understands that. Deinzer understands that a
- 7 metal is thermally conductive and plastic is not. I don't see why that's
- 8 necessary.
- 9 JUDGE GAUDETTE: This is Judge Gaudette. I had a question for you about
- 10 the housing. The Examiner is finding that the wall, 312, is part of the housing,
- 11 and I guess you disagree with that. I wondered if there is anything you could
- 12 point us to in the specification that would exclude a sleeve like 312 in Deinzer
- 13 from the claim language.
- 14 MR. MALONEY: Well, if we read the specification, we will read that, A,
- 15 there is no sleeve in our embodiment, number one; and number two, the whole
- 16 point of this invention is that you want to configure the cartridge or the
- 17 container, depending upon which claim we are talking about, to -- to have a
- 18 portion of the wall that's comprised of a thermally conductive material, such as
- 19 a metal, so that the heat that's generated by, for example, a laptop computer,
- 20 can be used to -- the heat is sunk into this container and it -- it encourages
- 21 the -- or it helps vaporize the liquid that's in the container so that when the
- 22 liquid -- so when the fuel comes out of the container, it comes out as a vapor
- 23 that can be used in the fuel cell.
- 24 Now, this is -- the application describes that quite plainly. So if this is what
- 25 we are trying to do with this particular fuel cartridge is to help vaporize the
- 26 fuel in the cartridge by using the waste heat from the generation of the

- 1 electrical -- electronic components, it would seem to me that we would not
- 2 want to put a thermally insulating sleeve inside of the container like Deinzer
- 3 does.
- 4 Now, the Examiner -- you know, the claim specifically requires that the liquid
- 5 fuel is in contact with the housing walls, and we only have one housing, that is
- 6 that's the housing described in the figure, we don't have any sleeve, so I don't
- 7 think, you know, our specification could support a claim that talked about a
- 8 sleeve inside of the housing. Our specification doesn't support what Deinzer
- 9 describes.
- 10 The Examiner appears, I think quite improperly, to take Deinzer and modify
- 11 Deinzer in the context of an anticipation rejection. This is not -- first of all,
- 12 this is not an obviousness rejection, it is an anticipation rejection. So the
- 13 Examiner, obviously, recognizes this deficiency in Deinzer and proposes to
- 14 modify Deinzer by somehow -- somehow arguing that the sleeve is part of the
- 15 housing, when that's not the way Deinzer describes it and no way a person of
- 16 skill in the art would look at it.
- 17 JUDGE SMITH: I guess the real question here is what do you have to
- 18 specifically define housing that excludes the reference.
- 19 MR. MALONEY: What we specifically have is that a portion of the wall of
- 20 the housing is comprised of thermally conductive material and the housing is
- 21 in contact with the -- with the liquid. And in Deinzer, the housing is not in
- 22 contact with the liquid, the bellows is.
- 23 JUDGE GAUDETTE: Well, what about in Figure 3 where the Examiner -- it
- 24 is on page 7 of the Answer -- is directing you to the portion of the housing that
- 25 is in contact with the fluid?
- 26 MR. MALONEY: Well, a portion of the housing is in contact. That's not

- what our claim says. Our claim says the housing is in contact. It doesn't say a
- 2 portion of it is.
- 3 JUDGE GAUDETTE: Yes, it does. It says the housing having at least --
- 4 okay. I'm sorry.
- 5 MR. MALONEY: The portion -- the word "a portion" is the part of the
- 6 housing that has to do with being thermally conductive. So, mind, if you were
- 7 to, say, modify Deinzer to come up with our claim, you would take 312 out of
- 8 the claim and you would make one of the walls of the housing thermally
- 9 conductive. So that's the modification, if you will.
- 10 JUDGE HASTINGS: This is Judge Hastings. The Examiner isn't modifying
- 11 Deinzer. It is a 102. He is taking a broadest interpretation of the word
- 12 "housing" and we are looking to find whether there is anything in your spec
- 13 that limits your definition of housing. A housing can be more than one
- 14 component and so the Examiner is reading it on claim 11 on Deinzer saying
- one portion is the metal portion, which is thermally conductive, and one
- 16 portion of this other housing.
- 17 MR. MALONEY: Well, I don't think -- the Examiner may be taking a very
- 18 broad view of our specification in Deinzer. I don't think that's a reasonable
- 19 view.
- 20 JUDGE HASTINGS: We are trying to get your help. Is there anything in
- 21 your spec that defines the word "housing"?
- 22 JUDGE HASTINGS: Yes, the housing is what -- the word "housing" is what
- 23 is housing the fluid. We only show one thing. We don't show any structure
- 24 like Deinzer. So we could not write a claim that would read on Deinzer
- 25 because we can't support the claim. I mean you are asking us to have, like, a
- 26 negative limitation but --

- 1 JUDGE HASTINGS: I think we understand your position. Let's move on to
- 2 another rejection.
- 3 MR. MALONEY: Okay. So claim 13 further limits claim 11 requiring the
- 4 remaining portions of the cartridge are thermally insulated and Deinzer
- 5 certainly does not describe this. He doesn't describe any sort of mixed
- 6 construction of the housing. Deinzer describes the housing as being plastic or
- 7 metal, not both.
- 8 Now, there is another rejection of claims 1 to 10 and 16 to 22 as being obvious
- 9 over Lawrence in view of Hirsch. Claim 1 includes a feature of a housing
- 10 having at least a portion of a wall being comprised of thermally conductive
- 11 material, a fuel egress support supported by the housing and a surface area
- 12 enhanced planar vaporization membrane residing in the container.
- 13 Now, again, Lawrence has this expandable fuel bladder, does not suggest the
- housing of the specific type of construction that's called for in claim 1, and
- 15 describes this bladder as expandable and teaches that it holds -- it holds a fuel
- 16 egress port. So, again, Lawrence does not describe that the fuel egress port --
- 17 the fuel egress port is supported by the housing, which is required by claim 1.
- 18 The Examiner uses Hirsch as teaching an enhanced -- an enhanced membrane,
- 19 planar vaporization membrane. Without conceding whether or not, in fact,
- 20 Hirsch teaches this particular membrane, we take the position that there is no
- 21 suggestion to combine Lawrence's bladder with Hirsch's so-called membrane
- 22 because the Examiner has just not shown how you put this membrane inside
- 23 this collapsible bladder.
- 24 It seems to be a bit of a stretch, if you will, that one would put the membrane
- 25 inside a collapsible bladder.

- And even if one were to do this, which we don't concede one would do, it still
- 2 does not meet all the limitations of claim, specifically the limitations that fuel
- 3 egress ports is supported by the housing, and also doesn't meet the feature that
- 4 the portion -- at least a portion of the wall of the housing be comprised of
- 5 thermally conductive material and the functional limitations that sinks heat to
- 6 enhance a delivery rate of the methanol in a vapor phase across the membrane
- 7 to deliver the vapor at an egress port of the container as required by claim 10.
- 8 The specific functional relationship of the thermally conductive wall of the
- 9 housing in combination with the methanol is -- is the actual enhancing in the
- 10 vaporization process across the membrane and is just not suggested by the
- 11 combination of these references.
- 12 There is another rejection of claim 23 -- any questions with respect to that?
- 13 JUDGE GAUDETTE: No.
- 14 MR. MALONEY: With respect to claims 23 and 20 -- claim 25, the Examiner
- 15 again has not shown that the fuel cartridge was configured for a specific
- 16 electronic device and, particularly, this configuration has a wall of the housing
- 17 configured to be disposed adjacent to heat dissipating element of electronic
- 18 device.
- 19 So, for example, using a laptop computer as an example, one would take a
- 20 cartridge, such as in claim 23, configure one of the walls of the housing to
- 21 be -- to have it disposed adjacent to the CPU, specifically the element in the
- 22 laptop that generates the most heat, and that's -- that type of configuration is
- 23 just simply not -- or anything akin to that is just simply not described by any
- 24 combination of these references.

- 1 None of these references really try to use dissipating heat of the device to
- 2 enhance the vaporization rate of the -- of the fuel -- liquid fuel inside of the
- 3 cartridge.
- 4 Okay. Any questions with respect to that?
- 5 JUDGE SMITH: No questions.
- 6 MR. MALONEY: Okay. Claim 26 includes a feature of the cartridge includes
- 7 a surface enhanced planar vaporization membrane residing in the cartridge.
- 8 The Examiner here shows -- in our view, the Examiner neither showed how
- 9 Hirsch describes the claimed surface enhanced planar vaporization membrane,
- which we give several examples of that in the specification, and, particularly,
- 11 this does not describe how that can be accommodated in Deinzer, just as we
- 12 don't think it could be accommodated in Lawrence, it could also not be
- 13 accommodated in Deinzer because Deinzer has a very similar type of
- 14 construction to their -- to the cartridge, in other words, having this collapsible
- 15 sleeve.
- 16 In fact, when you look at all these references the Examiner cites, none of these
- 17 references have the type of structure that we are calling for in any of these
- 18 claims. They all seem to use some sort of collapsible sleeve or bellows or
- 19 something like that and just do not have the liquid fuel in contact with the
- 20 walls of the housing, which allows -- which allows for different types of
- 21 construction, then, are called for in either Deinzer or Lawrence and, for that
- 22 matter, Hirsch.
- 23 JUDGE GAUDETTE: Just for clarification, is your argument that the
- 24 membrane of Hirsch could not be physically put into Lawrence's device?
- 25 MR. MALONEY: My argument for Hirsch is two. One is that they don't

- describe the surface enhanced planar vaporization membrane. They have a
- 2 membrane in theirs, not surface enhanced, number one, but, more importantly,
- 3 there is just no way that the Examiner has come up with to accommodate
- 4 putting this membrane inside of Deinzer or Lawrence without having the
- 5 whole structure not -- I don't see how the membrane can function as this thing
- 6 is being collapsed as -- you know, as the sleeve or the bellows of Lawrence are
- 7 being collapsed, it just doesn't -- it doesn't -- functionally doesn't seem as
- 8 though it would work.
- 9 And the Examiner has not even addressed how that would happen and it is not
- 10 really up to me to address why it wouldn't really work, it is up to me to point
- 11 out to the Board that the Examiner has not shown how this would work and
- 12 I've given you reasons why it would be suggesting.
- 13 JUDGE GAUDETTE: Okay. Thank you.
- 14 MR. MALONEY: Okay. Are there any further questions?
- 15 JUDGE SMITH: No.

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- 16 JUDGE GAUDETTE: No.
- 17 JUDGE SMITH: No further questions.
- 18 MR. MALONEY: Okay. Well, thank you very much and have a good day.
- 19 Whereupon, the proceedings at 9:49 a.m. were concluded.